

12/26/01  
JC921 U.S. PTO

Attorney Docket No. 7257/66518  
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JC978 U.S. PTO  
10/032783  
12/26/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
**Box Patent Application**  
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Chi-Tsai LIN for  
Inventor(s)

TRADING METHOD TO ACCOMMODATE TWO TRADING CHANNELS  
Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages (10) including:
  - a. X Claims
  - b. X Abstract
2. X 5 sheets of informal     formal X drawings.
3. X Oath or declaration of Applicant(s) (1 total pages)
  - a. X Newly executed (original or copy)
  - b.     Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4.     Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5.     Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.     Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7.     An assignment of the invention to                      (cover sheet & document(s))
8.     37 CFR 3.73(b) Statement (*when there is an assignee*)
9. X Power of Attorney
  - a. X Newly executed (original or copy)
  - b.     Copy from a prior application

10. \_\_\_ Information Disclosure Statement (IDS)/PTO-1449

\_\_\_ Copies of IDS Citations

11. \_\_\_ A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. X Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27

14. \_\_\_ If a Continuing Application, *check the appropriate box and supply the requisite information:*

☐ Continuation of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

☐ Divisional of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_

15. \_\_\_ Amend the specification by inserting before the first line of page one: --This is a \_\_\_ continuation  
\_\_\_ divisional of application Serial No. \_\_\_\_\_ filed \_\_\_\_--

16. \_\_\_ Priority of application No(s). \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_. Applicant hereby  
claims priority under 35 U.S.C. § 119.

\_\_\_ A certified copy of priority application No. \_\_\_\_\_ is enclosed.

\_\_\_ A certified copy of priority application No. \_\_\_\_\_ has been filed in prior application  
S.N. \_\_\_\_\_ filed \_\_\_\_\_

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	4 - 20	=	0	x	\$ 9	\$ 18	=	\$	\$
Indep. Claims	1 - 3	=	0	x	\$ 42	\$ 84	=	\$	\$
Multiple Dependent Claims Presented: Yes ___ No ___					\$140	\$280	=	\$	\$
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 370	\$ 740
					Total Fee			\$ 370	\$

17. \_\_\_ It is hereby certified that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
18. X A check in the amount of \$ 370.00 to cover the filing fee.
19. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
20. \_\_\_ Three copies of this sheet are enclosed.
21. \_\_\_ Other (identify) \_\_\_\_\_

Dated: December 26, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Chi-Tsai LIN  
Serial No. : Not Yet Assigned  
Filed : Concurrently Herewith  
For : TRADING METHOD TO ACCOMMODATE  
TWO TRADING CHANNELS

1185 Avenue of the Americas  
New York, New York 10036  
December 26, 2001

BOX PATENT APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

EXPRESS MAIL CERTIFICATE OF MAILING  
FOR ABOVE-IDENTIFIED APPLICATION

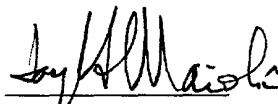
"Express Mail" mailing label number: EL013823076US

Date of Deposit: December 26, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
Printed Name: Samuel A. H. 65

Respectfully submitted,



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